

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: **Brivanlou et al.**

Serial No.: **10/543,040** Group Art Unit: **TBA**

Filed: **January 21, 2004** Examiner: **TBA**

For: **Regulation of TGF-BETA Signaling by Tomoregulin**

**REVOCATION AND GRANT OF POWER OF ATTORNEY;
CHANGE OF CORRESPONDENCE ADDRESS;
CHANGE OF ATTORNEY DOCKET NUMBER; AND
STATEMENT UNDER 37 CFR 3.73(b)**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Revocation/Grant of Power of Attorney

The Rockefeller University, the owner by assignment of all right, title, and interest in and to the subject application, hereby revokes all powers of attorney heretofore granted for the above-identified application and hereby appoints the practitioners associated with **Customer Number 65989** as the attorneys of record herein, with full power of substitution and revocation, to prosecute this application and to transact all business in the U.S. Patent and Trademark Office connected therewith.

Change of Correspondence Address

Please direct all future correspondence in the application to **Margaret B. Brivanlou** at the address associated with the customer number provided above.

Change of Attorney Docket Number

Please reference the Attorney Docket Number **13794-105004** on all future correspondence in the application.

Statement Under 37 CFR 3.73(b)

Assignee, The Rockefeller University, an educational institution incorporated in the state of New York having a place of business at 1230 YORK AVENUE, NEW YORK, NY 10021-06399 certifies that it is the assignee of the entire right, title, and interest in the above-identified patent application by virtue of an assignment from the inventor(s) to The Rockefeller University.

An assignment from the inventor(s), Ali Hemmati Brivanlou and Chenbei Chang to The Rockefeller University is being concurrently recorded in the United States Patent and Trademark Office. A copy of the executed assignment is attached.

The undersigned is empowered to sign this Revocation and Grant of Power of Attorney on behalf of the Assignee.

Date: 1/16/07

The Rockefeller University

By: Kathleen A. Denis

Name:

Title: KATHLEEN A. DENIS, Ph.D
ASSOCIATE VICE PRESIDENT
TECHNOLOGY TRANSFER

ASSIGNMENT

WHEREAS, WE, Ali Hemmati Brivanlou and Chenbei Chang, ASSIGNORS, citizens of the United States, residing at 430 East 63rd Street, New York, New York 10021 and 3451 Summit Drive, Birmingham, Alabama 35243, respectively, are the inventors of the invention in **REGULATION OF TGF-BETA SIGNALING BY TOMOREGULIN** for which we have executed an application for a Patent of the United States

- ☐ which is executed on ☐ even date herewith ☐
- ☐ which is identified by Jones Day docket no.
- ☒ which was filed on July 21, 2004, Application No. 10/543,040 as a National stage of International Application No. PCT/US2004/001645, filed January 21, 2004
- ☐ We hereby authorize and request attorney(s), at Jones Day, to insert here in parentheses (Application number, _____ filed _____) the filing date and application number of said application when known.

and WHEREAS, The Rockefeller University, ASSIGNEE, is desirous of obtaining our entire right, title and interest in, to and under the said invention and the said application:

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to us in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the said ASSIGNORS, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, our entire right, title and interest in, to and under the said invention, and the said United States application and all divisions, renewals and continuations thereof, and all Patents of the United States which may be granted thereon and all reissues and extensions thereof; and all applications for industrial property protection, including, without limitation, all applications for patents, utility models, and designs which may hereafter be filed for said invention in any country or countries foreign to the United States, together with the right to file such applications and the right to claim for the same the priority rights derived from said United States application under the Patent Laws of the United States, the International Convention for the Protection of Industrial Property, or any other international agreement or the domestic laws of the country in which any such application is filed, as may be applicable; and all forms of industrial property protection, including, without limitation, patents, utility models, inventors' certificates and designs which may be granted for said invention in any country or countries foreign to the United States and all extensions, renewals and reissues thereof;

AND WE HEREBY authorize and request the Commissioner for Patents and any Official of any country or countries foreign to the United States, whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant and agree that we have full right to convey the entire interest herein assigned, and that we have not executed, and will not execute, any agreement in conflict herewith.

AND WE HEREBY further covenant and agree that we will communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any facts known to us respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, reissue and foreign applications, make all rightful oaths, and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper protection for said invention in all countries.

IN TESTIMONY WHEREOF, We hereunto set our hands and seals the day and year set opposite our respective signatures.

Date _____, 2006 _____ L.S.

Ali Hemmati Brivanlou

Date August 14th, 2006 _____ L.S.

Chenbei Chang

State of _____)
) SS.:
 County of _____)

On _____, 2006, before me, _____, Notary Public, personally appeared Ali Hemmati Brivanlou, personally known to me on the basis of satisfactory evidence to be the person(s) whose name(s) is subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal

State of Alabama)
) SS.:
County of Jefferson)

On August 14, 2006, before me, Carolynsky, Notary Public, personally appeared Chenbei Chang, personally known to me on the basis of satisfactory evidence to be the person(s) whose name(s) is subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal

Carolynsky

Commission Expires: September 21, 2009

ASSIGNMENT

WHEREAS, WE, Ali Hemmati Brivanlou and Chenbei Chang, ASSIGNORS, citizens of the United States, residing at 430 East 63rd Street, New York, New York 10021 and 3451 Summit Drive, Birmingham, Alabama 35243, respectively, are the inventors of the invention in **REGULATION OF TGF-BETA SIGNALING BY TOMOREGULIN** for which we have executed an application for a Patent of the United States

- ☐ which is executed on ☐ even date herewith or ☐
- ☐ which is identified by Jones Day docket no.
- ☒ which was filed on July 21, 2004, Application No. 10/543,040 as a National stage of International Application No. PCT/US2004/001645, filed January 21, 2004
- ☐ We hereby authorize and request attorney(s), at Jones Day, to insert here in parentheses (Application number, _____ filed _____) the filing date and application number of said application when known.

and WHEREAS, The Rockefeller University, ASSIGNEE, is desirous of obtaining our entire right, title and interest in, to and under the said invention and the said application:

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to us in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the said ASSIGNORS, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, our entire right, title and interest in, to and under the said invention, and the said United States application and all divisions, renewals and continuations thereof, and all Patents of the United States which may be granted thereon and all reissues and extensions thereof; and all applications for industrial property protection, including, without limitation, all applications for patents, utility models, and designs which may hereafter be filed for said invention in any country or countries foreign to the United States, together with the right to file such applications and the right to claim for the same the priority rights derived from said United States application under the Patent Laws of the United States, the International Convention for the Protection of Industrial Property, or any other international agreement or the domestic laws of the country in which any such application is filed, as may be applicable; and all forms of industrial property protection, including, without limitation, patents, utility models, inventors' certificates and designs which may be granted for said invention in any country or countries foreign to the United States and all extensions, renewals and reissues thereof;

AND WE HEREBY authorize and request the Commissioner for Patents and any Official of any country or countries foreign to the United States, whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant and agree that we have full right to convey the entire interest herein assigned, and that we have not executed, and will not execute, any agreement in conflict herewith.

AND WE HEREBY further covenant and agree that we will communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any facts known to us respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, reissue and foreign applications, make all rightful oaths, and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper protection for said invention in all countries.

IN TESTIMONY WHEREOF, We hereunto set our hands and seals the day and year set opposite our respective signatures.

Date 8/17/, 2006 Ali Hemmati Brivanlou L.S.

Date _____, 2006 Chenbei Chang L.S.

State of NEW YORK)
County of NEW YORK) SS.:

On August 17, 2006, before me, Geen Rotmistroenko, Notary Public, personally appeared Ali Hemmati Brivanlou, personally known to me on the basis of satisfactory evidence to be the person(s) whose name(s) is subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal

Geen Rotmistroenko
GEAN ROTMISTRENKO
NOTARY PUBLIC, State of New York
No. 41-4778718
Qualified in Queens County
Certificate Filed in New York County
Commission Expires October 31, 2009

State of)
) SS.:
County of)

On _____, 2006, before me, _____, Notary Public, personally appeared Chenbei Chang, personally known to me on the basis of satisfactory evidence to be the person(s) whose name(s) is subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal
